

AP

2134 IFW

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application No.	09/895,057
	Filing Date	June 28, 2001
	First Named Inventor	Curtis E. Jutzi
	Art Unit	2134
	Examiner Name	Tran, Ellen C.
Total Number of Pages in This Submission	Attorney Docket Number	42390P11869

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input checked="" type="checkbox"/> PTO/SB/08 <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 5px;">-Return Post Card -Check No.: 2190 for \$180 -Copies of 5 cited references</div>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Gregory D. Caldwell, Reg. No. 39,926 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	October 10, 2006

CERTIFICATE OF MAILING/TRANSMISSION			
I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.			
Typed or printed name	Shannon A. Handy		
Signature		Date	October 10, 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

CURTIS E. JUTZI, ET AL.

Application No.: 09/895,057

Filed: June 28, 2001

For: AN APPARATUS AND METHOD FOR ENABLING SECURE
CONTENT DECRYPTION WITHIN A SET-TOP BOX



Art Group: 2134

Examiner: Tran, Ellen C.

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted after mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

10/16/2006 ZJUHA1 00000053 09895057

01 FC:1806

180.00 OP

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: October 10, 2006



Gregory D. Caldwell, Reg. No. 39,926

12400 Wilshire Boulevard, 7th Floor
Los Angeles, CA 90025
Telephone: (503) 439-8778

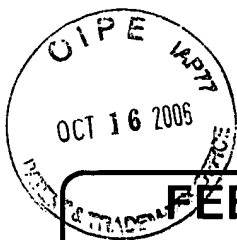
I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Shannon A. Handy

10-10-06

Date



FEE TRANSMITTAL for FY 2005

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$)

Complete if Known

Application Number	09/895,057
Filing Date	June 28, 2001
First Named Inventor	Curtis E. Jutzi
Examiner Name	Tran, Ellen C.
Art Unit	2134
Attorney Docket No.	42390P11869

METHOD OF PAYMENT (check all that apply)

- ☒ Check ☐ Credit card ☐ Money Order ☐ None ☐ Other (please identify): _____
- ☐ Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP
- For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)
- ☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee
- ☒ Charge any additional fee(s) or underpayment of fee(s) under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20. ☒ Credit any overpayments

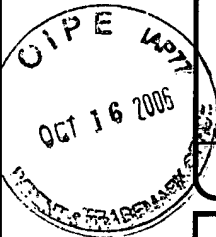
FEE CALCULATION

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051		2051		Surcharge - late filing fee or oath	
1052		2052		Surcharge - late provisional filing fee or cover sheet.	
2053		2053		Non-English specification	
1251		2251		Extension for reply within first month	
1252		2252		Extension for reply within second month	
1253		2253		Extension for reply within third month	
1254		2254		Extension for reply within fourth month	
1255		2255		Extension for reply within fifth month	
1401		2401		Notice of Appeal	
1402		2402		Filing a brief in support of an appeal	
1403		2403		Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1460		2460		Petitions to the Commissioner	
1807		1807		Processing fee under 37 CFR 1.17(q)	
1806		1806		Submission of Information Disclosure Stmt	180
1809		1809		Filing a submission after final rejection (37 CFR § 1.129(a))	
1810		2810		For each additional invention to be examined (37 CFR § 1.129(b))	
Other fee (specify) _____					
SUBTOTAL (2)				(\$)	180

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Gregory D. Caldwell	Registration No. (Attorney/Agent)	39,926	Telephone	(503) 439-8778
Signature		Date	10/10/06		



Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

1

of

5

Complete if Known

Application Number	09/895,057
Filing Date	June 28, 2001
First Named Inventor	Curtis E. Jutzi
Art Unit	2134
Examiner Name	Tran, Ellen C.
Attorney Docket Number	42390P11869

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
	1	US-4,546,382	10-08-1985	McKenna, et al.	
	2	US-4,566,030	01-21-1086	Nickerson, et al.	
	3	US-4,624,578	11-25-1986	Green	
	4	US-5,227,874	07-13-1993	Von Kohorn	
	5	US-5,388,211	02-07-1995	Hornbuckle	
	6	US-5,530,082	06-25-1996	Friebe, et al.	
	7	US-5,544,161	08-06-1996	Bigham, et al.	
	8	US-5,600,573	02-04-1997	Hendricks, et al.	
	9	US-5,734,890	03-31-1998	Case, et al.	
	10	US-5,740,549	04-14-1998	Reilly, et al.	
	11	US-5,758,257	05-26-1998	Herz, et al.	
	12	US-5,801,747	09-01-1998	Bedard	
	13	US-5,848,396	12-08-1998	Gerace	
	14	US-5,940,073	08-17-1999	Kosterman, et al.	
	15	US-5,974,398	10-26-1999	Hanson, et al.	
	16	US-5,991,735	11-23-1999	Gerace	
	17	US-5,999,908	12-07-1999	Abelow	
	18	US-6,012,051	01-04-2000	Sammon, Jr., et al.	
	19	US-6,018,359	01-25-2000	Kermode, et al.	
	20	US-6,118,492	08-12-2000	Milnes, et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ³
		Country Code ⁴ - Number ⁴ - Kind Code ⁴ (if known)				
	21	EP 0 064 168 A1	11-10-1982	Zwirn, et al.		
	22	EP 1 043 892 A1	10-11-2000	Kato		
	23	JP 2003 032710	01-31-2003	Akiho, et al.		
	24	WO 00/40028	07-06-2000	Lang, et al.		

Examiner Signature	Date Considered
--------------------	-----------------

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003.

Send To: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

(use as many sheets as necessary)

5

Application Number	09/895,057
Filing Date	June 28, 2001
First Named Inventor	Curtis E. Jutzi
Art Unit	2134
Examiner Name	Tran, Ellen C.
Attorney Docket Number	42390P11869

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
	25	US-6,226,618 B1	05-01-2001	Downs, et al.	
	26	US-6,271,893 B1	08-07-2001	Kawaguchi, et al.	
	27	US-6,357,042 B2	03-12-2002	Srinivasan, et al.	
	28	US-6,463,585 B1	10-08-2002	Hendricks, et al.	
	29	US-6,477,704 B1	11-05-2002	Cremia	
	30	US-6,513,014 B1	01-28-2003	Walker, et al.	
	31	US-6,625,716 B2	09-23-2003	Fackenthal	
	32	US-6,642,862 B2	11-04-2003	Boudry	
	33	US-6,642,939 B1	11-04-2003	Vallone, et al.	
	34	US-6,718,551 B1	04-06-2004	Swix, et al.	
	35	US-6,801,936 B1	10-05-2004	Diwan	
	36	US-6,923,911 B1	08-02-2005	Beier, et al.	
	37	US-7,020,893 B2	03-28-2006	Connelly	
	38	US-7,024,681 B1	04-04-2006	Fransman, et al.	
	39	US-7,028,071 B1	04-11-2006	Slik	
	40	US-7,047,456 B2	05-16-2006	Jutzi	
	41	US-7,055,165 B2	05-30-2006	Connelly	
	42	US-09/532,034	03-22-2000	Connelly	
	43	US-09/533,024	03-22-2000	Connelly	
	44	US-09/533,048	03-22-2000	Connelly	

[illegible]

Date	
Considered	

Send To: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Send To: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet

4

of

5

Application Number

09/895.057

Filing Date

June 28, 2001

First Named Inventor

Curtis E. Jutzi

Art Unit

2134

Examiner Name

Tran, Ellen C.

Attorney Docket Number

42390P11869

[illegible][illegible]Examiner
Signature

Date	
Considered	

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Send To: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

[illegible]

Based on PTO/SB/08B (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003.
Send To: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450